



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg
Application No. : 09/843,093
Confirmation No. : 8423
Filed : April 26, 2001
For : METHODS AND SYSTEMS FOR ACCESSING
FINANCIAL PROSPECTUS DATA
Group Art Unit : 3624
Examiner : Richard C. Weisberger

New York, New York 10020
April 4, 2006

Mail Stop RCE
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,
applicants hereby make the following documents of record in
the above-identified patent application:

U.S. PATENT DOCUMENTS

Moreno	4,102,493	07/25/1978
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FOREIGN PATENT DOCUMENTS

196 46 636 A1	Germany	05/14/1998
0 855 675 A3	EPO	07/29/1998

FOREIGN PATENT DOCUMENTS

11-348470	Japan	12/21/1999
100 34 082 A1	Germany	01/24/2002

The above documents are listed on the accompanying Form PTO/SB/08 (submitted in duplicate). Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of the above "U.S. Patent Documents" are not provided. Copies of the "Foreign Patent Documents" are enclosed herewith.

The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application. In particular, the above references were cited in an Official Action in applicant's corresponding Great Britain Patent Application number GB0326270.6.


Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these documents be (1) fully considered by the United States Patent and Trademark Office during examination of this application;

and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Brian', followed by a long horizontal line that extends to the right.

Brian E. Mack
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number	09/843,093
Filing Date	April 26, 2001
First Named Inventor	Phillip M. Ginsberg
Art Unit	3624
Examiner Name	Richard C. Weisberger
Attorney Docket Number	CF-17
Confirmation Number	8423

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,102,493	07/25/1978	Moreno	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM- DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		DE 196 46 636	05/14/1998	Schott Glas		
		EP 0 855 675 A3	07/29/1998	Koninkl Philips Electronics NV		
		JP 11-348470	12/21/1999	Nippon Electric Co		
		DE 100 34 082 A1	01/24/2002	Schott Glas		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.